Generating Functions

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Definition

The "generating function" for a sequence $(a_1, a_2, a_3, a_4, ...)$ is:

$$a_1 + a_2 x + a_3 x^2 + a_4 x^3 + \dots$$

Let the sequence

$$A = {n \choose k} = {n \choose 0}, {n \choose 1}, {n \choose 2}, \dots, {n \choose n}.$$

<u>Identities</u>

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The generating function for A is

$$\binom{n}{0} + \binom{n}{1}x + \binom{n}{2}x^2 + \dots + \binom{n}{n}x^n$$

<u>Identities</u>

Let the sequence

$$A = {n \choose k} = {n \choose 0}, {n \choose 1}, {n \choose 2}, \dots, {n \choose n}.$$

The generating function for A is

$$\binom{n}{0} + \binom{n}{1}x + \binom{n}{2}x^2 + \dots + \binom{n}{n}x^n = (x+1)^n$$

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Substituting 1 into x:

$$\binom{n}{0} + \binom{n}{1} + \binom{n}{2} + \dots + \binom{n}{n} = 2^n$$

$$\binom{n}{0} + \binom{n}{1}x + \binom{n}{2}x^2 + \dots + \binom{n}{n}x^n = (x+1)^n$$

Substituting -1 into x:

$$\binom{n}{0} - \binom{n}{1} + \binom{n}{2} - \dots + (-1)^n \binom{n}{n} = 0$$

$$\binom{n}{0} + \binom{n}{1}x + \binom{n}{2}x^2 + \dots + \binom{n}{n}x^n = (x+1)^n$$

Taking the derivative?

$$\binom{n}{0} + \binom{n}{1}x + \binom{n}{2}x^2 + \dots + \binom{n}{n}x^n = (x+1)^n$$

Taking the derivative:

$$\binom{n}{1} + 2\binom{n}{2}x + 3\binom{n}{3}x^2 + \dots + n\binom{n}{n}x^{n-1} = n(x+1)^{n-1}$$

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Substituting 1 into x:

$$\binom{n}{1} + 2\binom{n}{2} + 3\binom{n}{3} + \dots + n\binom{n}{n} = n2^{n-1}$$

Given 2 6-sided dice, in how many ways can you roll a sum of 5?

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Dice 1: (1 OR 2 OR 3 OR 4 OR 5 OR 6)

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4 ways

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Another way to represent the same question using polynomials ...

Given 2 6-sided dice, in how many ways can you roll a sum of 5?

After multiplying the product

$$(x^1 + x^2 + x^3 + x^4 + x^5 + x^6)(x^1 + x^2 + x^3 + x^4 + x^5 + x^6)$$

what is the coefficient of the term with x^5 ?

Given 2 6-sided dice, in how many ways can you roll a sum of 5?

$$(x^{1} + x^{2} + x^{3} + x^{4} + x^{5} + x^{6})(x^{1} + x^{2} + x^{3} + x^{4} + x^{5} + x^{6})$$

$$= x^{2} + 2x^{3} + 3x^{4} + 4x^{5} + 5x^{6} + 6x^{7} + 5x^{8}$$

$$4x^{9} + 3x^{10} + 2x^{11} + x^{12}$$

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Given 2 6-sided dice, in how many ways can you roll a sum of 5?

Poly 1:
$$(x^1 + x^2 + x^3 + x^4 + x^5 + x^6)$$

*

Poly 2:
$$(x^1 + x^2 + x^3 + x^4 + x^5 + x^6)$$

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Given 2 6-sided dice, in how many ways can you roll a sum of 5?

Finding the coefficient of x^5 :

Poly 1:
$$(x^1 + x^2 + x^3 + x^4 + x^5 + x^6)$$

4 as coef.

Poly 2:
$$(x^1 + x^2 + x^3 + x^4 + x^5 + x^6)$$

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Combinations that sum to 5:

Dice 1: (1 OR 2 OR 3 OR 4 OR 5 OR 6)

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1

Find the coefficient of x^5 in the product:

$$(x^1 + x^2 + x^3 + x^4 + x^5 + x^6)(x^1 + x^2 + x^3 + x^4 + x^5 + x^6)$$

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Possibilities for Dice 1 Possibilities for Dice 2

A partition of a positive integer
 n is a representation of n as a
 sum of other positive integers

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2 distinct partitions

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 sum of other positive integers
- E.g. 5 = 1 + 4 = 1 + 2 + 2



2 distinct partitions

Note: 1 + 4 and 4 + 1 are NOT distinct partitions.

Problem:

Problem: For any natural number *n*, prove that the number of partitions into unequal parts equals the number of partitions into odd parts.

Unequal parts: the numbers in each partition are distinct

Odd parts: the numbers in each partition are odd

Example (unequal parts):

$$5 = 5$$

= 4 + 1
= 3 + 2

Example (unequal parts):

$$8 = 8$$

$$= 7 + 1$$

$$= 6 + 2$$

$$= 5 + 3$$

$$= 5 + 2 + 1$$

$$= 4 + 3 + 1$$

$$= 3 + 3 + 1 + 1$$

Example (odd parts):

$$5 = 5$$

= 3 + 1 + 1
= 1 + 1 + 1 + 1

Example (odd parts):

$$8 = 7 + 1$$

$$= 5 + 3$$

$$= 5 + 1 + 1 + 1$$

$$= 3 + 3 + 1 + 1$$

$$= 3 + 1 + 1 + 1 + 1 + 1$$

$$= 1 + 1 + 1 + 1 + 1 + 1 + 1$$

$$= 6 + 2$$

How do we prove this?

Given 2 6-sided dice, in how many ways can you roll a sum of 5?

1

Find the coefficient of x^5 in the product:

$$(x^1 + x^2 + x^3 + x^4 + x^5 + x^6)(x^1 + x^2 + x^3 + x^4 + x^5 + x^6)$$

Let sequence $C = (c_0, c_1, c_2, ...)$, where c_n is the number of partitions of a number n into unequal parts.

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Let sequence $D = (d_0, d_1, d_2, ...)$, where d_n is the number of partitions of a number n into odd parts.

Let sequence $C = (c_0, c_1, c_2, ...)$, where c_n is the number of partitions of a number n into unequal parts.

Let sequence $D=(d_0,d_1,d_2,...)$, where d_n is the number of partitions of a number n into odd parts.

Prove that $c_n = d_n$ for all $n \ge 0$.

Defining generating functions:

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$$P_c(x) = c_0 + c_1 x + c_2 x^2 + \dots$$

$$P_d(x) = d_0 + d_1 x + d_2 x^2 + \dots$$

Defining generating functions:

$$P_c(x) = c_0 + c_1 x + c_2 x^2 + \dots$$

 $P_d(x) = d_0 + d_1 x + d_2 x^2 + \dots$

Proving $c_n = d_n$ for all $n \ge 0$.

Proving $P_c(x) = P_d(x)$

Solving for $P_c(x)$

Partitions into unequal parts

1	OR	no 1
	AND	
2	OR	no 2
	AND	
3	OR	no 3
	AND	
4	OR	no 4
	AND	
5	OR	no 5

Solving for $P_c(x)$

1 OR 0

2 OR 0

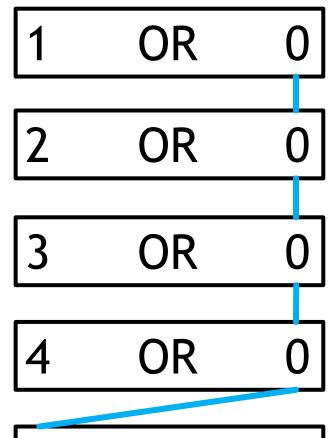
3 OR 0

4 OR 0

5 OR 0

5 =

Solving for $P_c(x)$



5 = 5

1	OR	0

$$5 = 4 + 1$$

5 OR 0

$$5 = 3 + 2$$

Solving for $P_c(x)$

1	OR	0
	AND	

AND

3 (OR 0
-----	------

AND

4 OR	0
------	---

AND

5 OR 0

Solving for $P_c(x)$

$$x^1 + x^0$$

$$x^2 + x^0$$

 $x^3 + x^0$

 $x^4 + x^0$

 $x^5 + x^0$

$$x^{1}$$
 + x^{0}
 x^{2} + x^{0}
 x^{3} + x^{0}
 x^{4} + x^{0}
 x^{5} + x^{0}

$$x^1 + x^0$$

$$x^2 + x^0$$

$$x^3 + x^0$$

$$x^4 + x^0$$

$$x^5 + x^0$$

$$x^{1} + x^{0}$$
 $x^{2} + x^{0}$
 $x^{3} + x^{0}$
 $x^{4} + x^{0}$
 $x^{5} + x^{0}$

Solving for $P_c(x)$

$$x^1 + x^0$$

$$x^2 + x^0$$

*

$$x^3 + x^0$$

*

$$x^4 + x^0$$

*

$$x^5 + x^0$$

Distribution

 \rightarrow 3 x^5 's

Solving for $P_c(x)$

$$x^1 + x^0$$

$$x^2 + x^0$$

*

$$x^3 + x^0$$

*

$$x^4 + x^0$$

*

$$x^5 + x^0$$

Coefficient of x^5 is $c_5 = 3$

Solving for $P_c(x)$

$$x^1 + x^0$$

$$x^2 + x^0$$

*

$$x^3 + x^0$$

*

$$x^4 + x^0$$

不

Solving for $P_c(x)$

$$x^1 + x^0$$

 $x^2 + x^0$

*

 $x^3 + x^0$

*

x^4	+	x^0

Coefficient of x^n is c_n

Solving for $P_c(x)$

In $(x^1 + x^0)(x^2 + x^0)(x^3 + x^0)$..., the coefficient of x^n is c_n .

Solving for $P_c(x)$

In $(x^1 + x^0)(x^2 + x^0)(x^3 + x^0)$..., the coefficient of x^n is c_n .

In $P_c(x)$, the coefficient of x^n is c_n .

Solving for $P_c(x)$

So,

$$P_c(x) = (x^1 + x^0)(x^2 + x^0)(x^3 + x^0) \dots$$

Solving for $P_d(x)$

Solving for $P_d(x)$

Partitions into odd parts

Solving for $P_d(x)$

```
no 1 OR one 1 OR two 1's OR ... |
                AND
no 3 OR one 3 OR two 3's OR ...
                AND
no 5 OR one 5 OR two 5's OR ...
                AND
no 7 OR one 7 OR two 7's OR ...
                AND
```

no 9 OR one 9 OR two 9's OR ...

Solving for $P_d(x)$

```
0*1 OR one 1 OR two 1's OR ...
```

AND

```
0*3 OR one 3 OR two 3's OR ...
```

AND

0*5 OR one 5 OR two 5's OR ...

AND

0*7 OR one 7 OR two 7's OR ...

AND

0*9 OR one 9 OR two 9's OR ...

Solving for $P_d(x)$

```
0*1 OR 1*1 OR two 1's OR ...
```

AND

```
0*3 OR 1*3 OR two 3's OR ...
```

AND

0*5 OR 1*5 OR two 5's OR ...

AND

0*7 OR 1*7 OR two 7's OR ...

AND

0*9 OR 1*9 OR two 9's OR ...

Solving for $P_d(x)$

```
0*1 OR 1*1 OR 2*1 OR ...
```

AND

0*3 OR 1*3 OR 2*3 OR ...

AND

0*5 OR 1*5 OR 2*5 OR ...

AND

0*7 OR 1*7 OR 2*7 OR ...

AND

Solving for $P_d(x)$

```
0*1 OR 1*1 OR 2*1 OR ...
```

AND

0*3 OR 1*3 OR 2*3 OR ...

AND

0*5 OR 1*5 OR 2*5 OR ...

AND

0*7 OR 1*7 OR 2*7 OR ...

AND

Solving for $P_d(x)$

```
0*1 OR 1*1 OR 2*1 OR ...
```

AND

0*3 OR 1*3 OR 2*3 OR ...

AND

0*5 OR 1*5 OR 2*5 OR ...

AND

0*7 OR 1*7 OR 2*7 OR ...

AND

Solving for $P_d(x)$

```
0*1 OR 1*1 OR 2*1 OR ...
```

AND

```
0*3 OR 1*3 OR 2*3 OR ...
```

AND

```
0*5 OR 1*5 OR 2*5 OR ...
```

AND

```
0*7 OR 1*7 OR 2*7 OR ...
```

AND

0*9 OR 1*9 OR 2*9 OR ...

5 =

Solving for $P_d(x)$

OR 1*1 OR 2*1 OR ... | 5 = 5

0*3 OR 1*3 OR 2*3 OR ...

0*5 OR 1*5 OR 2*5 OR ...

OR 1*7 OR 2*7 OR ...

1*9 OR 2*9 OR ...

Solving for $P_d(x)$

$$0*1 ext{ OR } 1*1 ext{ OR } 2*1 ext{ OR } ... ext{ } 5 = 3 + 1$$

Solving for $P_d(x)$

```
0*1 OR 1*1 OR 2*1 OR ...
```

AND

0*3 OR 1*3 OR 2*3 OR ...

AND

0*5 OR 1*5 OR 2*5 OR ...

AND

0*7 OR 1*7 OR 2*7 OR ...

AND

Solving for $P_d(x)$

$$x^0 + x^1 + x^2 + \dots$$

 $x^0 + x^3 + x^6 + ...$

 $x^0 + x^5 + x^{10} + \dots$

 $x^0 + x^7 + x^{14} + \dots$

 $x^0 + x^9 + x^{18} + ...$

Solving for $P_d(x)$

$$x^{0} + x^{1} + x^{2} + \dots$$
 $x^{0} + x^{3} + x^{6} + \dots$
 $x^{0} + x^{5} + x^{10} + \dots$
 $x^{0} + x^{7} + x^{14} + \dots$
 $x^{0} + x^{0} + x^{0} + x^{0} + \dots$

Solving for $P_d(x)$

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$$x^0 + x^1 + x^2 + ...$$

$$x^0 + x^3 + x^6 + ...$$

 $x^0 + x^5 + x^{10} + ...$

 $x^0 + x^7 + x^{14} + \dots$

 $x^0 + x^9 + x^{18} + ...$

Coefficient of x^5 is $d_5 = 3$

Solving for $P_d(x)$

$$x^0 + x^1 + x^2 + ...$$

 $x^0 + x^3 + x^6 + ...$

 $x^0 + x^5 + x^{10} + \dots$

 $x^0 + x^7 + x^{14} + ...$

.

Solving for $P_d(x)$

$$x^0 + x^1 + x^2 + ...$$

 $x^0 + x^3 + x^6 + ...$

 $x^0 + x^5 + x^{10} + \dots$

 $x^0 + x^7 + x^{14} + \dots$

Coefficient of x^n is d_n

Solving for $P_d(x)$

In $(x^0 + x^1 + x^2 + ...)(x^0 + x^3 + x^6 + ...)(x^0 + x^5 + x^{15} + ...)$... the coefficient of x^n is d_n .

Solving for $P_d(x)$

In $(x^0 + x^1 + x^2 + ...)(x^0 + x^3 + x^6 + ...)(x^0 + x^5 + x^{15} + ...)$... the coefficient of x^n is d_n .

In $P_d(x)$, the coefficient of x^n is d_n .

Solving for $P_d(x)$

So,

$$P_d(x) = (x^0 + x^1 + x^2 + \dots)(x^0 + x^3 + x^6 + \dots)(x^0 + x^5 + x^{15} + \dots) \dots$$

Solving for $P_c(x)$

Partitions into unequal parts

$$P_c(x) = (x^1 + x^0)(x^2 + x^0)(x^3 + x^0) \dots$$

Solving for $P_c(x)$

Partitions into unequal parts

$$P_c(x) = (x^1 + 1)(x^2 + 1)(x^3 + 1) \dots$$

Solving for $P_d(x)$

Partitions into odd parts

$$P_d(x) = (x^0 + x^1 + x^2 + \dots)(x^0 + x^3 + x^6 + \dots)(x^0 + x^5 + x^{15} + \dots) \dots$$

$$P_c(x) = (x^1 + 1)(x^2 + 1)(x^3 + 1) \dots$$

$$P_c(x) = (x^1 + 1)(x^2 + 1)(x^3 + 1) \dots$$
$$= \left(\frac{1 - x^2}{1 - x}\right) \left(\frac{1 - x^4}{1 - x^2}\right) \left(\frac{1 - x^6}{1 - x^3}\right) \dots$$

$$P_{c}(x) = (x^{1} + 1)(x^{2} + 1)(x^{3} + 1) \dots$$
$$= \left(\frac{1-x^{2}}{1-x}\right) \left(\frac{1-x^{4}}{1-x^{2}}\right) \left(\frac{1-x^{6}}{1-x^{3}}\right) \dots$$

$$P_{c}(x) = (x^{1} + 1)(x^{2} + 1)(x^{3} + 1) \dots$$

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$$= \left(\frac{1-x^{2}}{1-x}\right)\left(\frac{1-x^{4}}{1-x^{2}}\right)\left(\frac{1-x^{6}}{1-x^{3}}\right)\left(\frac{1-x^{6}}{1-x^{5}}\right)\left(\frac{1-x^{12}}{1-x^{5}}\right) \dots$$

$$P_{c}(x) = (x^{1} + 1)(x^{2} + 1)(x^{3} + 1) \dots$$

$$= \left(\frac{1-x^{2}}{1-x}\right)\left(\frac{1-x^{4}}{1-x^{2}}\right)\left(\frac{1-x^{6}}{1-x^{3}}\right)\left(\frac{1-x^{6}}{1-x^{5}}\right)\left(\frac{1-x^{10}}{1-x^{5}}\right) \dots$$

Proving that $P_c(x) = P_d(x)$

$$P_{c}(x) = (x^{1} + 1)(x^{2} + 1)(x^{3} + 1) \dots$$

$$= \left(\frac{1-x^{2}}{1-x}\right)\left(\frac{1-x^{4}}{1-x^{2}}\right)\left(\frac{1-x^{6}}{1-x^{3}}\right)\left(\frac{1-x^{6}}{1-x^{5}}\right)\left(\frac{1-x^{10}}{1-x^{5}}\right) \dots$$
1

 $(1-x)(1-x^3)(1-x^5)(1-x^7)...$

$$P_d(x) = (x^0 + x^1 + x^2 + \dots)(x^0 + x^3 + x^6 + \dots)(x^0 + x^5 + x^{15} + \dots) \dots$$

Proving that $P_c(x) = P_d(x)$

$$P_d(x) = (x^0 + x^1 + x^2 + \dots)(x^0 + x^3 + x^6 + \dots)(x^0 + x^5 + x^{15} + \dots) \dots$$

Geometric series

Proving that $P_c(x) = P_d(x)$

$$P_d(x) = (x^0 + x^1 + x^2 + \dots)(x^0 + x^3 + x^6 + \dots)(x^0 + x^5 + x^{15} + \dots) \dots$$

Geometric series:

$$r^0 + r^1 + r^2 + \dots$$

Proving that $P_c(x) = P_d(x)$

$$P_d(x) = (x^0 + x^1 + x^2 + \dots)(x^0 + x^3 + x^6 + \dots)(x^0 + x^5 + x^{15} + \dots) \dots$$

Geometric series:

$$r^0 + r^1 + r^2 + \dots = \frac{1}{1-r}$$

Proving that $P_c(x) = P_d(x)$

$$P_d(x) = (x^0 + x^1 + x^2 + \dots)(x^0 + x^3 + x^6 + \dots)(x^0 + x^5 + x^{15} + \dots) \dots$$

Geometric series:

$$r^{0} + r^{1} + r^{2} + \dots = \frac{1}{1-r}$$
 when $|\mathbf{r}| < 1$

$$P_d(x) = (x^0 + x^1 + x^2 + \dots)(x^0 + x^3 + x^6 + \dots)(x^0 + x^5 + x^{15} + \dots) \dots$$
$$= \left(\frac{1}{1-x}\right) \left(\frac{1}{1-x^3}\right) \left(\frac{1}{1-x^5}\right) \dots$$

$$P_d(x) = (x^0 + x^1 + x^2 + \dots)(x^0 + x^3 + x^6 + \dots)(x^0 + x^5 + x^{15} + \dots) \dots$$
$$= \left(\frac{1}{1-x}\right) \left(\frac{1}{1-x^3}\right) \left(\frac{1}{1-x^5}\right) \left(\frac{1}{1-x^7}\right) \dots$$

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$$= \left(\frac{1}{1-x}\right) \left(\frac{1}{1-x^3}\right) \left(\frac{1}{1-x^5}\right) \left(\frac{1}{1-x^7}\right) \dots$$

$$= \frac{1}{(1-x)(1-x^3)(1-x^5)(1-x^7)\dots}$$

<u>Partitions</u>

$$P_{c}(x) = \frac{1}{(1-x)(1-x^{3})(1-x^{5})(1-x^{7})\dots}$$

$$P_{c}(x) = P_{d}(x) = \frac{1}{(1-x)(1-x^{3})(1-x^{5})(1-x^{7})\dots}$$

$$P_c(x) = P_d(x)$$

Defining generating functions:

$$P_c(x) = c_0 + c_1 x + c_2 x^2 + \dots$$

 $P_d(x) = d_0 + d_1 x + d_2 x^2 + \dots$

Proving $c_n = d_n$ for all $n \ge 0$.

Defining generating functions:

$$P_c(x) = c_0 + c_1 x + c_2 x^2 + \dots$$

$$P_d(x) = d_0 + d_1 x + d_2 x^2 + \dots$$

Proving $c_n = d_n$ for all $n \ge 0$. $\downarrow \uparrow$

Proving
$$P_c(x) = P_d(x)$$

Problem: For any natural number *n*, prove that the number of partitions into unequal parts equals the number of partitions into odd parts.

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Problem: For any natural number n, prove that the number of partitions into unequal parts equals the number of partitions into odd parts. \checkmark

Proving
$$P_c(x) = P_d(x)$$

We proved it!

References

References

The Art and Craft of Problem Solving Paul Zeitz